



CDS ACCOUNT OPENING FORM FOR REPO TRANSACTIONS

Customer Details

Name

Mr/Mrs/Ms

Name (Joint)

Mr/Mrs/Ms

Name (Joint)

Mr/Mrs/Ms

Address

NIC/Passport/Business Reg  Tele No(s) \_\_\_\_\_

NIC/Passport No  Fax No(s) \_\_\_\_\_

NIC/Passport No  Email \_\_\_\_\_

Operating Instructions (Joint Applicants)

The above Investment is to be operated by  Either of us  All of us  Any Two

I/We have read and accepted the Instructions and terms & conditions given overleaf.

Signature of the Applicant

Signature of the Applicant

Signature of the Applicant

Branch Use

Customer CIF No

We Confirm the Following

- Signature/s Has/have been verified
- Repo Master Agreement has been signed by the Applicant/s
- Individual - Certified copy of the NIC obtained
- Corporate/Institutional Client -
- Certified copy of Memorandum of Articles obtained.
- Certificate of Incorporation/Business Registration obtained
- Board Resolution naming the authorised signatories with specimen signatures obtained

Authorised Officer

Manager

Treasury Use

CDS Account Number

Date Created \_\_\_\_\_

Created By \_\_\_\_\_

Authorised By \_\_\_\_\_

General Notes

1 NIC No/ Passport No / Company Registration No should be given in "NIC" No field.

2 This Application form will be used to open a CDS Account at Central Depository System at Central bank Of Sri Lanka.

3 The Securities account will be opened at CDS under the Name of the Investor.

4 Lanka Secure Ltd will directly post the CDS Statement to the Address given.

#### Terms & Conditions

The following terms & conditions will govern each Securities Account of the customer maintained by Hatton National Bank Ltd(HNB) on behalf of the customers in the Central Depository System of the Central Bank of Sri Lanka.

- a. HNB is authorized to act on the behalf of the customer for transactions covered under the Repo Master agreement.
- b. HNB is authorized to open and maintain Securities Accounts to hold scriptless securities of the customer in accordance with authority granted and instructions issued in terms of the Repo Master Agreement. Instructions will be governed by the System Rules issued by the Central Bank of Sri Lanka.
- c. The types of transactions referred to above are;
  - i. To transfer script less securities to the customer's Security Account if and when HNB enters into Repurchase Agreement (Repo) which requires HNB to transfer scriptless securities to the customers Security Account
  - ii. To transfer securities from the customer's Security Account to HNB's account on maturity of the Repo.
  - iii. To transfer scriptless securities from the customer's Security Account to HNB account if and when HNB enters in to a Reverse Repo (RevRepo) which requires the customer to transfer scriptless securities to HNB.
  - iv. To transfer securities from the HNB's Account to Customer's account on maturity of the RevRepo.
  - v. To transfer from/to customer's account to rectify an error. Such rectification of errors will be notified to the customer in writing.
  - vi. To undertake any other transaction that will be necessary to fulfil the customer request.
- d. HNB will undertake to effect instructions given by the customer upon receipt of instructions given in the following manner;
  - i. In writing or
  - ii. By any other form of communication acceptable to HNB.
- e. Customer shall pay applicable fees & charges published by HNB from time to time.
- f. Any discrepancy in the statements issued by Central Bank of Sri Lanka or HNB should be notified within 14 calendar days.
- h. Any changes to the particulars given for opening the securities account should be notified in writing to HNB.
- i. Transactions on the Securities Account are subject to the rules issued by the Central Bank of Sri Lanka and the applicable statutes.
- j. The Customer indemnifies HNB from loss or liability arising from carrying out instructions of the customer given in accordance with the terms & conditions.