

HATTON NATIONAL BANK PLC

POLICY ON RELATIONS WITH SHAREHOLDERS AND INVESTORS

1. OVERVIEW

The Board of Directors of the Bank has adopted the Policy on Relations with Shareholders and Investors (the "**Policy**") in conformity with the Listing Rules to record the principles based on which the Shareholders and investors will be informed with regard to the business and affairs of the Bank and have access to the relevant information pertaining to the Bank in a timely manner. This document sets forth a summary of the Policy.

Any capitalized term not specifically defined in this Summary will have the meaning therefor set forth in the Policy.

2. PURPOSE

The purpose of the Policy is to set forth the principles, standards and requirements of the Bank with regard to relations with Shareholders and investors, including with regard to disclosure of information to and communication with Shareholders and investors.

3. SCOPE

The Policy applies to the Bank and covers all Directors, Key Management Personnel and employees of the Bank and Shareholders of and investors in the Bank, to the extent applicable.

4. GENERAL MEETINGS

4.1 The Bank must convene general meetings of Shareholders in accordance with the Articles and the Companies Act. Such meetings will serve as the primary forum for communication between the Bank and its Shareholders.

4.2 Shareholders are encouraged to participate in general meetings or appoint proxies to attend and vote on their behalf, if they are unable to attend.

5. INVESTORS RELATIONS, EVENTS AND ROADSHOWS

5.1 The Bank may engage in regular meetings and interviews with investors and analysts, including quarterly webinars and participation in local and international roadshows.

- 5.2 No inside or price sensitive information, except for information which is already in the public domain or is otherwise generally available to the public, will be disclosed during these meetings, interviews, events or roadshows.

6. CORPORATE DISCLOSURES/COMMUNICATION

- 6.1 Communications to Shareholders, including notices of general meetings and annual reports, will be sent in accordance with the Articles and Listing Rules, and will also be available on the Bank's website.
- 6.2 The Policy is supplemented by the Bank's Corporate Communications Policy and such policy will take precedence over the Policy, in any instance of conflict.

7. INQUIRIES

- 7.1 Shareholders and investors may direct general or specific inquiries regarding the Bank's activities to designated Key Management Personnel, at any time. Dividend and shareholder rights-related queries should be directed to the Company Secretary any query on a matter or aspect other than in respect of dividend and shareholder queries should be directed to the Head of Strategy.
- 7.2 The Bank respects Shareholders' privacy and will not disclose personal information without consent, unless legally required.

8. MATERIAL TRANSACTIONS AND OTHER PRICE SENSITIVE INFORMATION

- 8.1 The Board will disclose any material transactions and price-sensitive information to the Colombo Stock Exchange as required by the Listing Rules.
- 8.2 Approval from Shareholders will be obtained for identified transaction is required in terms of the applicable legal and regulatory framework.